

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

#5

**INFORMATION DISCLOSURE  
STATEMENT**

Docket Number:  
2345/163

Application Number  
To Be Assigned

Filing Date  
Herewith

Examiner  
To Be Assigned

Art Unit  
To Be Assigned

Title  
METHOD FOR MONITORING THE  
TRANSMISSION QUALITY OF AN OPTICAL  
TRANSMISSION SYSTEM, IN PARTICULAR OF  
AN OPTICAL WAVELENGTH-DIVISION  
MULTIPLEX NETWORK

Applicant(s)  
Norbert HANIK et al.

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicant(s) hereby bring the following reference(s) to the attention of the Examiner. The reference(s) are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed, except as otherwise indicated on the modified PTO form 1449.

Dated:

8/13/2001

By:

Richard L. Mayer (Reg. No. 22490)

(By AD  
rec. no.  
33, 805-  
Avaran  
DE0174)

KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)

CUSTOMER NO. 26646

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO FORM 1449</b>	<b>ATTY. DOCKET NO.</b> 2345/163	<b>SERIAL NO.</b> Not yet assigned
	<b>APPLICANT(s)</b>  Norbert HANIK et al.	
	<b>FILING DATE</b> Herewith	<b>GROUP</b> Not yet assigned

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO

**OTHER DOCUMENTS**

EXAMINER INITIAL		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
		*Mueller, et al., "Application of Amplitude Histograms For Quality of Service Measurements of Optical Channels and Fault Identification," ECOC98, September 20-24, 1998, Madrid, Spain, pages 707-708.
		*Patent Abstracts of Japan, & JP 10 239214 A, vol. 1998, no. 14, September 11, 1998.
		*Shake, et al., "Optical Signal Quality Monitoring Method Based on Optical Sampling," Electronics Letters, vol. 34, no. 22, October 23, 1998, pages 2152-2154.
		*Atsushi Hiramatsu, "Training Techniques for Neural Network Applications in ATM," IEEE Service Center, New Jersey, U.S.A., vol. 33, no. 10, October 1, 1995, pages 58, 63-67.

\*Copy of reference is not enclosed because reference is cited and described in International Search Report (copy of reference provided by International Searching Authority).

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	